

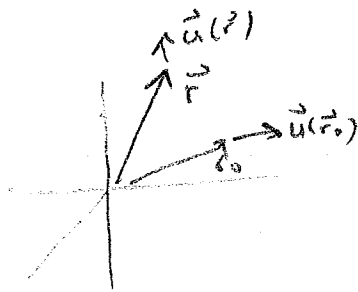
Day 12 pg 1

→ (Handout Exam)

→ go over handout or Strain

including warnings about Kittel's notation

I found Kittel pp 73-75 to be nearly incomprehensible. After doing some research and talking to an acoustics professor, I found a much better description in Fetter and Walecka, Theoretical Mechanics of Particles and Continua, pp 460-463. This mostly follows their treatment



$\vec{u}$  = displacement field, describes where each point goes to under a deformation.

I.e.  $\vec{r}' = \vec{r} + \vec{u}(\vec{r})$  is new position of  $\vec{r}$

$\vec{r}'_0 = \vec{r}_0 + \vec{u}(\vec{r}_0)$  is new position of  $\vec{r}_0$

Consider only small displacements  $\rightarrow$  do a Taylor's series, 1<sup>st</sup> order

Taylor's series

Review: — 1D)  $f(x) = f(x_0) + \left. \frac{df}{dx} \right|_{x_0} (x - x_0)$

— 3D scalar function:  $f(x, y, z) = f(x_0, y_0, z_0) + \left. \frac{df}{dx} \right|_{x_0} (x - x_0) + \left. \frac{df}{dy} \right|_{y_0} (y - y_0) + \left. \frac{df}{dz} \right|_{z_0} (z - z_0)$

where each derivative is evaluated at  $(x_0, y_0, z_0)$

equivalently:  $f(\vec{r}) = f(\vec{r}_0) + \left. \vec{\nabla} f \right|_{\vec{r}_0} \cdot (\vec{r} - \vec{r}_0)$

equivalently:  $f(\vec{r}) - f(\vec{r}_0) = \sum_{j=1}^3 \left. \frac{\partial f}{\partial x_j} \right|_{\vec{r}_0} (r_j - r_{0j})$

where  $j=1,2,3$  refers to  $x, y, z$

— 3D vector function: each component of  $\vec{u}$  ( $u_x, u_y, u_z$ ) is a scalar function

for the  $i^{\text{th}}$  component:

$$u_i(\vec{r}) - u_i(\vec{r}_0) = \sum_{j=1}^3 \left. \frac{\partial u_i}{\partial x_j} \right|_{\vec{r}_0} (r_j - r_{0j})$$

this is just like matrix multiplication

$$\begin{pmatrix} \left. \frac{\partial u_x}{\partial x} \right|_{\vec{r}_0} & \left. \frac{\partial u_x}{\partial y} \right|_{\vec{r}_0} & \left. \frac{\partial u_x}{\partial z} \right|_{\vec{r}_0} \\ \left. \frac{\partial u_y}{\partial x} \right|_{\vec{r}_0} & \left. \frac{\partial u_y}{\partial y} \right|_{\vec{r}_0} & \left. \frac{\partial u_y}{\partial z} \right|_{\vec{r}_0} \\ \left. \frac{\partial u_z}{\partial x} \right|_{\vec{r}_0} & \left. \frac{\partial u_z}{\partial y} \right|_{\vec{r}_0} & \left. \frac{\partial u_z}{\partial z} \right|_{\vec{r}_0} \end{pmatrix} \begin{pmatrix} r_x - r_{0x} \\ r_y - r_{0y} \\ r_z - r_{0z} \end{pmatrix}$$

The  $3 \times 3$  matrix  $\left. \frac{\partial u_i}{\partial x_j} \right|_{\vec{r}_0}$  is called the "displacement gradient"

Break for some notation notes:  $\vec{u}$  is the same as Kittel's  $\vec{R}$ , eqn. 27

$u_x$  is what Kittel calls  $u$

$u_y$  v

$u_z$  w

The nine  $\frac{\partial u_i}{\partial x_j}$  components are Kittel's  $\epsilon_{ij}$  components

↳ this is a non-standard symbol for the displacement gradient

Now write the displace. gradient as a sum of symm. and antisymm. matrices

$$= \frac{1}{2} \begin{pmatrix} \frac{\partial u_x}{\partial x} + \frac{\partial u_x}{\partial x} & \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} & & \\ \frac{\partial u_y}{\partial x} + \frac{\partial u_y}{\partial y} & & & \\ & & \text{etc.} & \\ & & & \end{pmatrix} + \frac{1}{2} \begin{pmatrix} \frac{\partial u_x}{\partial x} - \frac{\partial u_x}{\partial x} & \frac{\partial u_x}{\partial y} - \frac{\partial u_y}{\partial x} & & \\ \frac{\partial u_y}{\partial x} - \frac{\partial u_y}{\partial y} & & & \\ & & \text{etc.} & \\ & & & \end{pmatrix}$$

in much more compact form

$$\frac{\partial u_i}{\partial x_j} = \underbrace{\frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)}_{\text{call this the matrix } \epsilon_{ij}} + \underbrace{\frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} - \frac{\partial u_j}{\partial x_i} \right)}_{\text{call this the matrix } \Omega_{ij}}$$

call this the matrix  $\epsilon_{ij}$

$\epsilon_{ij}$

(= 1/2 of Kittel's  $\epsilon_{ij}$ )

call this the matrix  $\Omega_{ij}$

↳ This is related to rigid rotations

↳ This is related to elastic deformations

↳ this is what we care about!

Summary

$$u_i(\vec{r}) - u_i(\vec{r}_0) = \sum_{j=1}^3 \epsilon_{ij} (r_j - r_{0j})$$

$$\begin{pmatrix} \Delta u \end{pmatrix} = \begin{pmatrix} \epsilon_{ij} \end{pmatrix} \begin{pmatrix} \Delta r \end{pmatrix}$$

in matrix form

Applications

1) What happens to the vector  $a\hat{x}$ ? Let  $\vec{r} = a\hat{x}$ ,  $\vec{r}_0 = 0$

$$\Delta\vec{u} = \begin{pmatrix} \epsilon_{ij} \end{pmatrix} \begin{pmatrix} a \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \epsilon_{11} a \\ \epsilon_{12} a \\ \epsilon_{13} a \end{pmatrix}$$

$$\begin{aligned} \text{New vector} &= a\hat{x} + a(\epsilon_{11}\hat{x} + \epsilon_{12}\hat{y} + \epsilon_{13}\hat{z}) \\ &= a[(1+\epsilon_{11})\hat{x} + \epsilon_{12}\hat{y} + \epsilon_{13}\hat{z}] \end{aligned}$$

This clearly has a different direction than  $a\hat{x}$ .

What about length?

$$\begin{aligned} a'^2_{\text{new length}} &= a^2 \sqrt{(1+\epsilon_{11})^2 + \epsilon_{12}^2 + \epsilon_{13}^2} \\ &= a^2 \left( 1 + 2\epsilon_{11} + \cancel{\epsilon_{11}^2} + \cancel{\epsilon_{12}^2} + \cancel{\epsilon_{13}^2} \right)^{1/2} \end{aligned}$$

keep only first order

$$\boxed{a' = a(1 + \epsilon_{11})}$$

$$\text{or } \epsilon_{11} = \frac{a'}{a} - 1 = \frac{a' - a}{a} = \frac{\Delta L}{L}$$

$\epsilon_{11}$  = Physics 121 strain for compression in  $\hat{x}$

2) What happens to the angle between  $a\hat{x}$  and  $a\hat{y}$ ? (initially  $90^\circ$ )

$$\text{New } a\hat{x} = a[(1+\epsilon_{11})\hat{x} + \epsilon_{12}\hat{y} + \epsilon_{13}\hat{z}]$$

very similarly,

$$\text{New } a\hat{y} = a[\epsilon_{21}\hat{x} + (1+\epsilon_{22})\hat{y} + \epsilon_{23}\hat{z}]$$

$$\text{From } \vec{a} \cdot \vec{b} = |\vec{a}||\vec{b}|\cos\theta \dots$$

$$\cancel{a^2} [(1+\epsilon_{11})(\epsilon_{21}) + (\epsilon_{12})(1+\epsilon_{22}) + (\epsilon_{13})(\epsilon_{23})] = \cancel{a^2} \cos\theta$$

again keeping only first order

$$\cos\theta = \epsilon_{21} + \epsilon_{12} \quad \text{but } \epsilon_{ij} \text{ is symmetric, so}$$

$$\boxed{\cos\theta = 2\epsilon_{12}}$$

the two vectors are no longer perpendicular

$$\text{Compare to Kittel Eqn 32: } \begin{matrix} \vec{x}' \\ \text{new } \vec{x} \end{matrix} \cdot \begin{matrix} \vec{y}' \\ \text{new } \vec{y} \end{matrix} = \epsilon_{xy} \quad \rightarrow 2 \times \text{our } \epsilon_{12}$$

3) What happens to volume of a cube ?

Start with  $a\hat{x}$ ,  $a\hat{y}$ ,  $a\hat{z}$

Transform each vector

Calculate volume =  $(\text{new } a\hat{x}) \cdot (\text{new } a\hat{y} \times \text{new } a\hat{z})$

Keep only first order

Result: 
$$\boxed{\text{New Volume} = a^3 (1 + \epsilon_{11} + \epsilon_{22} + \epsilon_{33})}$$

trace of  $\epsilon_{ij}$  matrix

or 
$$\text{Trace}(\epsilon_{ij}) = \frac{\Delta V}{V} !$$

We will learn how to calculate  $\epsilon_{ij}$  given the forces on the solid,  
and now you have some insight as to what  
the components of  $\epsilon_{ij}$  mean.

Generalized stress

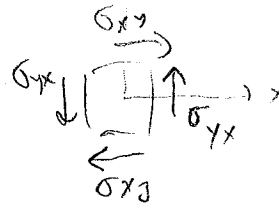


$\sigma$   
↓  
(not used in Kittel)

Compressive:  $\sigma_{xx} =$  force in x direction applied to area, normal in x-dir.  
shear:  $\sigma_{xy} =$  force in y direction applied to area, normal in x-dir.

Note:  $\sigma_{xy} = \sigma_{yx}$

otherwise torque / angle accel.



$\sigma_{ij}$  symmetric matrix, 6 independent components

$$\begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ & \sigma_{yy} & \sigma_{yz} \\ & & \sigma_{zz} \end{pmatrix}$$

write as 6 component vector

$$\begin{pmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \sigma_{zz} \\ \sigma_{yz} \\ \sigma_{xz} \\ \sigma_{xy} \end{pmatrix}$$

same thing w/ strain matrix: 6 component vector.

Kittel notation:  $\sigma_{xy} \rightarrow X_y$

Derek Thoma: reverse before!

Relating stress to strain

$$\begin{pmatrix} \sigma_1 \\ \vdots \\ \sigma_6 \\ \vdots \\ \sigma_{xy} \end{pmatrix} = \begin{pmatrix} S \\ \text{"compliance tensor"} \end{pmatrix} \begin{pmatrix} \epsilon_1 \\ \vdots \\ \epsilon_6 \\ \vdots \\ \epsilon_{xy} \end{pmatrix}$$

to translate back,  $S_{23} = S_{(rr)(zz)}$

↑ pos 2    ↑ pos 3

↳ only 36 components (potentially) instead of 81

S describes how easily substance is deformed

Inverse matrix

C = "Stiffness tensor"

(they got the letters backwards! :))

S = compliance  
C = stiffness

$$\begin{pmatrix} \sigma \end{pmatrix} = \begin{pmatrix} C \end{pmatrix} \begin{pmatrix} \epsilon \end{pmatrix}$$

Note: We will return to Kittel's defn of  $\epsilon$  now

where  $\epsilon_{xy} = 2 \times \begin{matrix} \text{Kittel's} \\ \epsilon_{xy} \end{matrix} \begin{matrix} \text{more standard} \\ \epsilon_{xy} \end{matrix}$

I do this so we can use Kittel's eqns and tabulated values for components of C

otherwise would have  $C_{ij}$  values (next page) which are off by factor of 2.

cubic crystal

use symmetry to figure out which elements are 0, and which are equal to others

consider  $C_{14} = C_{yx}yz$

$$\begin{pmatrix} \sigma_{xx} \\ \vdots \\ \sigma_{xy} \end{pmatrix} = \begin{pmatrix} C_{14} \\ \vdots \\ C_{14} \end{pmatrix} \begin{pmatrix} \epsilon_{yx} \\ \vdots \\ \epsilon_{yz} \end{pmatrix}$$

$$\sigma_{xx} = \dots + C_{14} \epsilon_{yz} + \dots$$

Reflection symmetry:  $z \rightarrow -z$   $\epsilon_{yz} \rightarrow -\epsilon_{yz}$  because  $\frac{\partial v}{\partial z} \rightarrow -\frac{\partial v}{\partial z}$   
 and  $\frac{\partial v}{\partial y} \rightarrow -\frac{\partial v}{\partial y}$

perform operation:

$$\sigma_{xx} = \dots + C_{14} (-\epsilon_{yz}) + \dots$$

can only be equal if  $C_{14} = 0$

similarly 3-fold rotation symmetry about  $[111]$  axis  $x \rightarrow y$   
 $y \rightarrow z$   
 $z \rightarrow x$

forces  $C_{11} = C_{22} = C_{33}$  (call it  $C_{11}$ )  
 $xxx$      $yyy$      $zzz$

and  $C_{44} = C_{55} = C_{66}$   
 $C_{yz}yz$      $C_{zx}zx$      $C_{xy}xy$   
 rotation  
 $C_{zx}zx$      $C_{yz}yz$   
 which are the same

Result:

$$C = \begin{pmatrix} C_{11} & C_{12} & C_{12} & & & \\ C_{12} & C_{11} & C_{12} & & & \\ C_{12} & C_{12} & C_{11} & & & \\ & & & C_{44} & & \\ & & & & C_{44} & \\ & & & & & C_{44} \end{pmatrix}$$

only 3 independent components!  
 (down from 8!)

see tables 11 + 12, pg 84

for values of  $C_{11}, C_{12}, C_{44}$