

→ give handout

Finish "hard way" for  $(110)$  (Example 4)

For HW...  
can use Mathematica  
to get eigenvalues  
+ eigenvectors!

then <sup>come back to</sup> "easy way" for  $(110)$  longitudinal (Example 3)

Example 4

"easy way" for  $(110)$  transverse in  $\frac{x-y}{\sqrt{2}}$  direction

Use Eqn 2.57a

$$\rho^2 (\omega^2) u_x = c_{11} \left( \frac{k^2}{2} \right) u_x + c_{44} \left( \frac{k^2}{2} + 0 \right) u_x + (c_{12} + c_{44}) \left( -\frac{k^2}{2} u_x + 0 \right)$$

$$u_y = -u_x$$

$$\rho \omega^2 = \frac{1}{2} [c_{11} + c_{44} - c_{12} - c_{44}] k^2$$

$$\boxed{\frac{\omega}{k} = \sqrt{\frac{1}{2\rho} (c_{11} - c_{12})}}$$

✓ matches  
transverse on  
handout.

That's not the only transverse direction, though!

$u = (0, 0, u_z)$  is also transverse to  $(110)$

Math →  $\frac{\omega}{k} = \sqrt{\frac{c_{44}}{\rho}}$  is result

The three eigenvectors are

- $(1 \ 1 \ 0)$  long.
- $(1 \ -1 \ 0)$  transverse 1
- $(0 \ 0 \ 1)$  transverse 2

} if we hadn't assumed  $z=0$  in "the long way", all three would have come out.

Note: 1) All other transverse directions (eg  $(5, -5, 3)$ ) can be written as linear comp of the two "special" transverse ones.

2) You can't always guess at "special" directions. Sometimes even long is not an eigenvalue!

Wave information

- 1) General exponential dependence is  $e^{i(\vec{k} \cdot \vec{r} - \omega t)}$
- 2) Direction of travel tells you what  $\vec{k}$  components are present
- 3) Direction of oscillation (ie, longitudinal vs transverse, and which specific transverse direction) tells you which  $\vec{u}$  components are present.

How to use Eqn 3.57 (a), (b), (c).

1) The Easy Way - If you know the specifics of  $u_x, u_y, u_z$ , plug that in from the start.

Example 1 - (100) waves, longitudinal

Given  $\vec{k} = (k, 0, 0)$  and  $\vec{u} = u_x \hat{x} e^{i(kx - \omega t)}$

Eqn 3.57 a becomes

$$\rho \omega^2 u_x = C_{11} k^2 u_x \rightarrow \boxed{v = \frac{\omega}{k} = \sqrt{\frac{C_{11}}{\rho}}}$$

Example 2 - (100) waves, transverse in the (0,1,0) direction

Given  $\vec{k} = (k, 0, 0)$  and  $u = (0, u, 0)$

i.e.  $\vec{u} = u_y \hat{y} e^{i(kx - \omega t)}$

Eqn 3.57 a becomes

$$\rho \omega^2 u_y = C_{44} k^2 u_y \rightarrow \boxed{\frac{\omega}{k} = \sqrt{\frac{C_{44}}{\rho}}}$$

Example 3 - (110) waves, longitudinal

Given  $\vec{k} = k \frac{(\hat{x} + \hat{y})}{\sqrt{2}}$  and  $\vec{u} = u \frac{(\hat{x} + \hat{y})}{\sqrt{2}} e^{i(k \frac{x+y}{\sqrt{2}} - \omega t)}$

Eqn 3.57 a becomes

$$\rho \omega^2 u = C_{11} \frac{k^2}{2} u + C_{44} \frac{k^2}{2} u + C_{12} + C_{44} \left(\frac{k^2}{2} u\right)$$

$$\rightarrow \boxed{\frac{\omega}{k} = \sqrt{\frac{1}{2\rho} (C_{11} + C_{12} + 2C_{44})}}$$

2) The Hard Way - if you don't know all of the specifics, you'll need to solve simultaneous eqns in an eigenvalue-type matrix eqn.

Example 4: (110) waves, unknown direction in x-y plane

Given  $\vec{k} = k \left( \frac{\hat{x} + \hat{y}}{\sqrt{2}} \right)$ ,  $\vec{u} = (u_x \hat{x} + u_y \hat{y}) e^{i \left( k \left( \frac{x+y}{\sqrt{2}} \right) - \omega t \right)}$

↑  
these unknown, but  $u_z = 0$  is known. this still known because of  $k$

Eqn 3.57a:  $\rho \omega^2 u_x = C_{11} \left( \frac{k^2}{2} \right) u_x + C_{44} \left( \frac{k^2}{2} \right) u_x + (C_{12} + C_{44}) \left( \frac{k^2}{2} \right) u_y$

or  $\rho \omega^2 u_x = \frac{1}{2} k^2 (C_{11} + C_{44}) u_x + \frac{1}{2} k^2 (C_{12} + C_{44}) u_y$

Eqn 3.57b:  $\rho \omega^2 u_y = \frac{1}{2} k^2 (C_{11} + C_{44}) u_y + \frac{1}{2} k^2 (C_{12} + C_{44}) u_x$

Combine in matrix eqn:

$$\begin{pmatrix} \rho \omega^2 & 0 \\ 0 & \rho \omega^2 \end{pmatrix} \begin{pmatrix} u_x \\ u_y \end{pmatrix} = \frac{1}{2} k^2 \begin{pmatrix} C_{11} + C_{44} & C_{12} + C_{44} \\ C_{12} + C_{44} & C_{11} + C_{44} \end{pmatrix} \begin{pmatrix} u_x \\ u_y \end{pmatrix}$$

$$\begin{pmatrix} \frac{k^2}{2} (C_{11} + C_{44}) - \rho \omega^2 & \frac{k^2}{2} (C_{12} + C_{44}) \\ \frac{k^2}{2} (C_{12} + C_{44}) & \frac{k^2}{2} (C_{11} + C_{44}) - \rho \omega^2 \end{pmatrix} \begin{pmatrix} u_x \\ u_y \end{pmatrix} = 0$$

To have non zero solns,  $\det(\cdot) = 0$

$$\left[ \frac{k^2}{2} (C_{11} + C_{44}) - \rho \omega^2 \right]^2 - \left[ \frac{k^2}{2} (C_{12} + C_{44}) \right]^2 = 0$$

$$\frac{k^2}{2} (C_{11} + C_{44}) - \rho \omega^2 = \pm \frac{k^2}{2} (C_{12} + C_{44})$$

$$\frac{\omega^2}{k^2} = \frac{1}{2\rho} \left[ (C_{11} + C_{44}) \pm (C_{12} + C_{44}) \right]$$

Two solns, either

$$\frac{\omega}{k} = \sqrt{\frac{1}{2\rho} (C_{11} + C_{12} + 2C_{44})}$$

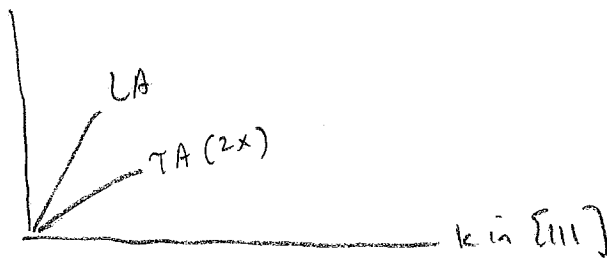
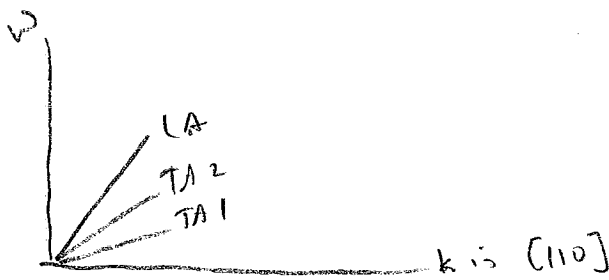
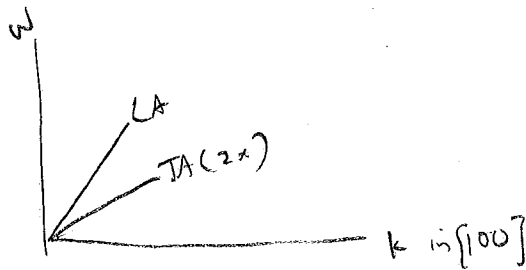
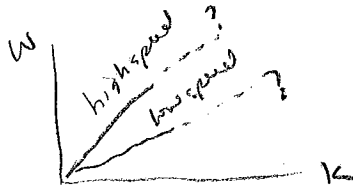
or

$$\frac{\omega}{k} = \sqrt{\frac{1}{2\rho} (C_{11} - C_{12})}$$

To determine direction of oscillation for these velocities, plug that  $\frac{\omega}{k}$  value back into Eqn 3.57 and solve for  $\frac{u_y}{u_x}$  ratio. One turns out to be  $u_y = u_x$ , the other  $u_y = -u_x$ .

Dispersion relation

$v = \frac{\omega}{k} \rightarrow$  only true for long  $\lambda$  (small  $k$ )  
 "acoustic waves"



with computers could  
 help is  
 arbitrary direction  
 is B2  
 $\omega(k)$

Done w/ ch 3

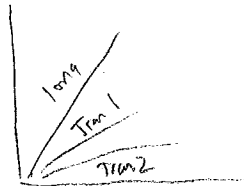
skipped "Elastic Energy Density" pg 77-78  
 and "Bulk Modulus & Compressibility" pg 80

Chapter 4: Phonons

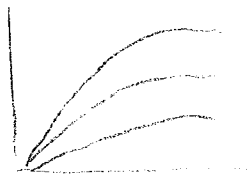
So far we've focused on long wavelength oscillations (small  $k$ )

There  $\omega$  vs  $k$  is straight line, and slope is speed of elastic wave (the problem 3.2)

Figure 11  
pg 101



what about larger  $k$ ?

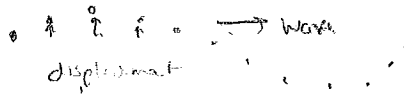


↑ why is there a  $k_{max}$ ?

Now we'll answer some of those questions

Basic answer: leaving the continuum limit!

Consider  $\lambda = 10a \rightarrow k = \frac{2\pi}{10a} = \frac{\pi}{5a}$



But what if  $\lambda = a$ ?  $k = 2\pi/a$



is there a wave?

$\lambda = a/2$        $k = 4\pi/a$



That's why there's a  $k_{max}$ !  $\rightarrow$  for  $\lambda < a$  doesn't make sense

**Figs pg 93**

solid curve contains no more info than discrete curve  
only  $k$  in 1st BZ are needed!