

\* Perturbation Theory had out - Start on Step 4

Final Pert Theory mode:

$$U_{k,k'} = \int \psi_k^* U(\vec{r}) \psi_{k'} dV$$

$$= \frac{1}{V} \int U(\vec{r}) e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} dV \quad (\text{as discussed})$$

Also as discussed,  $\vec{k}' - \vec{k} = \vec{G}$  to give a non-zero integral

so  $U_{k,k'}$  really =  $\frac{1}{V} \int U(\vec{r}) e^{i\vec{G} \cdot \vec{r}} dV$

compared to  $\frac{2}{L} \int f(x) \cos \frac{2\pi n x}{L} dx$

or  $\frac{1}{L} \int f(x) e^{i2\pi n x / L} dx$

fourier coefficient of  $n^{\text{th}}$  term

$U_{k,k'} =$  Fourier coeff associated w/  $\vec{G}$ !

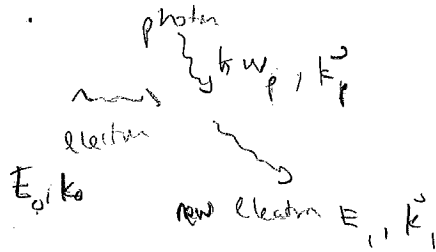
=  $U_{\vec{G}}$  in Kittel's notation.  
from Central Equation. ☺

Things fit together!

Another fit: both Central Eqn approach & Pert Theory approach predicted that only states related to  $\vec{k}$  via RLV will couple together.

Photon  
Absorption

(Not really in ch 7, but seems to fit here)



Cons. energy:  $E_0 + h\omega_p = E_1$

Cons. momentum:  $\vec{k}_0 + \vec{k}_p = \vec{k}_1 + \vec{G}_{RLV}$

used reduced zone scheme

$$\vec{k}_0 + \vec{k}_p = \vec{k}_1$$

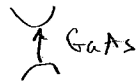
↳ can be different band

Consider magnitude of numbers

visible light  $\lambda \approx 500 \text{ nm} \rightarrow k = \frac{2\pi}{\lambda} = \frac{2\pi}{(500 \cdot 10^{-9})} \approx \underline{\underline{10^7 \text{ m}^{-1}}}$

size of BZ:  $\frac{2\pi}{a} \approx \frac{2\pi}{5 \cdot 10^{-10} \text{ m}} \approx \underline{\underline{10^{10} \text{ m}^{-1}}}$

Also:  $E_{\text{photon}} \approx 1-3 \text{ eV}$



Conclusion: optical transitions look vertical

only go over  $\approx 1\%$  of BZ

Indirect transitions:

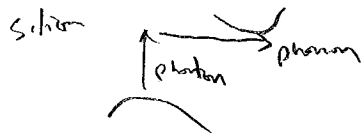
recall photon energy scales  $\epsilon f \approx 5 \cdot 10^{12} \text{ Hz}$  (fig B, pg 96)

$$E = hf \Rightarrow \underline{\underline{E = 0.021 \text{ eV}}}$$

and yet photon  $k$  values include whole BZ

⇒ photon transitions look horizontal

combine transitions called "indirect"



optical absorption at  $E_g$  less likely because need to absorb a photon as well (Si can't emit light easily also, for same reason) but GaAs = great!